



TRANSMITTAL FORM

(to be used for all correspondence during pendency of filed application)

Application Number	10/814,845		
Filing Date	March 30, 2004		
First Named Inventor	Peter E. Hart		
Group Art Unit Number	2625		
Examiner Name	James A. Thompson		
Total Number of Pages in This Submission	9*	Attorney Docket Number	20412-08457

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Response to Notice to File Missing Parts <input type="checkbox"/> Assignment & Recordation Cover Sheet <input type="checkbox"/> Declaration <input type="checkbox"/> Power of Attorney <input type="checkbox"/> Application Data Sheet <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement & PTO/SB/08A <input checked="" type="checkbox"/> Copies of IDS Cited Non-Patent References <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Request for Correction of Recorded Assignment <input type="checkbox"/> Amendment/Response: [] Page(s) <input type="checkbox"/> After Final <input type="checkbox"/> Status Request <input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Letter to Chief Draftsperson <input type="checkbox"/> Formal Drawing(s): [] Sheet(s) of Figure(s) [] <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
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REMARKS: *Page count does not include cited references.

SIGNATURE OF ATTORNEY OR AGENT

Signature:			
Attorney/Reg. No.:	Robert A. Hulse, Reg. No. 48,473	Dated:	Nov. 3, 2006

CERTIFICATE OF MAILING

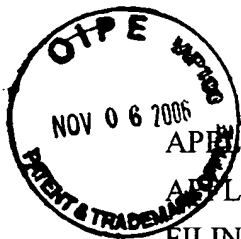
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

Signature:			
Typed or Printed Name:	Robert A. Hulse	Dated:	Nov. 3, 2006
Express Mail Mailing Number (optional):			

<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2006</h2> <p style="font-size: small; margin: 0;">Patent fees are subject to annual revision. Applicant claims small entity status. See 37 CFR 1.27</p>		Complete if Known													
TOTAL AMOUNT OF PAYMENT (\$) 180		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>Application Number</td><td>10/814,845</td></tr> <tr><td>Filing Date</td><td>March 30, 2004</td></tr> <tr><td>First Named Inventor</td><td>Peter E. Hart</td></tr> <tr><td>Examiner Name</td><td>James A. Thompson</td></tr> <tr><td>Art Unit</td><td>2625</td></tr> <tr><td>Attorney Docket No.</td><td>20412-08457</td></tr> </table>		Application Number	10/814,845	Filing Date	March 30, 2004	First Named Inventor	Peter E. Hart	Examiner Name	James A. Thompson	Art Unit	2625	Attorney Docket No.	20412-08457
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<h3 style="text-align: center; margin: 0;">METHOD OF PAYMENT (check all that apply)</h3> <p> <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None </p> <p> <input type="checkbox"/> Deposit Account: </p> <p> Deposit Account Number 19-2555 </p> <p> Deposit Account Name Fenwick & West LLP </p> <p> The Commissioner is authorized to: (check all that apply) </p> <p> <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments </p> <p> <input checked="" type="checkbox"/> Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this application </p> <p> <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. </p> <hr/> <h3 style="text-align: center; margin: 0;">FEE CALCULATION</h3> <div style="border: 1px solid black; padding: 5px;"> <h4 style="margin: 0;">1. BASIC FILING FEE</h4> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="text-align: left;">Large Entity</th> <th style="text-align: left;">Small Entity</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: left;">Fee Paid</th> </tr> <tr> <td>Fee Code (\$)</td> <td>Fee Code (\$)</td> <td></td> <td></td> </tr> <tr><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td></tr> <tr> <td colspan="2" style="text-align: right;">SUBTOTAL (1)</td> <td>(\$)</td> <td>0</td> </tr> </table> </div> <div style="border: 1px solid black; padding: 5px; margin-top: 5px;"> <h4 style="margin: 0;">2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE</h4> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="text-align: left;">Total Claims</th> <th style="text-align: left;">Extra Claims</th> <th style="text-align: left;">Fee from below</th> <th style="text-align: left;">Fee Paid</th> </tr> <tr> <td> </td> <td>-20** =</td> <td> </td> <td> </td> </tr> <tr> <td>Independent Claims</td> <td>-3** =</td> <td> </td> <td> </td> </tr> <tr> <td>Multiple Dependent</td> <td> </td> <td> </td> <td> </td> </tr> </table> <table style="width: 100%; border-collapse: collapse; margin-top: 5px;"> <tr> <th style="text-align: left;">Large Entity</th> <th style="text-align: left;">Small Entity</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: left;">Fee Paid</th> </tr> <tr> <td>Fee Code (\$)</td> <td>Fee Code (\$)</td> <td></td> <td></td> </tr> <tr><td>1202</td><td>2202</td><td>Claims in excess of 20</td><td> </td></tr> <tr><td>1201</td><td>2201</td><td>Independent claims in excess of 3</td><td> </td></tr> <tr><td>1203</td><td>2203</td><td>Multiple dependent claim, if not paid</td><td> </td></tr> <tr><td>1204</td><td>2204</td><td>**Reissue independent claims over original patent</td><td> </td></tr> <tr><td>1205</td><td>2205</td><td>**Reissue claims in excess of 20 and over original patent</td><td> </td></tr> <tr> <td colspan="2" style="text-align: right;">SUBTOTAL (2)</td> <td>(\$)</td> <td>0</td> </tr> </table> <p style="font-size: x-small; margin-top: 5px;">**or number previously paid, if greater; For Reissues, see above</p> </div>	Large Entity	Small Entity	Fee Description	Fee Paid	Fee Code (\$)	Fee Code (\$)																																							SUBTOTAL (1)		(\$)	0	Total Claims	Extra Claims	Fee from below	Fee Paid		-20** =			Independent Claims	-3** =			Multiple Dependent				Large Entity	Small Entity	Fee Description	Fee Paid	Fee Code (\$)	Fee Code (\$)			1202	2202	Claims in excess of 20		1201	2201	Independent claims in excess of 3		1203	2203	Multiple dependent claim, if not paid		1204	2204	**Reissue independent claims over original patent		1205	2205	**Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)		(\$)	0	<h3 style="text-align: center; margin: 0;">FEE CALCULATION (continued)</h3> <div style="border: 1px solid black; padding: 5px;"> <h4 style="margin: 0;">3. 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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Robert A. Hulse	Registration No. (Attorney/Agent)	48,473
Signature		Date	Nov. 3, 2006
		Telephone (415) 875-2444	



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Peter E. Hart et al.
APPLICATION NO.: 10/814,845
FILING DATE: March 30, 2004
TITLE: Stand Alone Multimedia Printer with User Interface for Allocating Processing
EXAMINER: James A. Thompson
GROUP ART UNIT: 2625
ATTY. DKT. NO.: 20412-08457

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated: Nov. 3, 2006

By: Robert A. Hulse
Robert A. Hulse, Reg. No.: 48,473

MAIL STOP AMENDMENT
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner. A concise explanation in accordance with 37 CFR §1.98(a)(3) of the relevant portions of the cited reference that are not in the English language is believed to be set forth in the English abstract accompanying the reference.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☐ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

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- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☒ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☒ attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a

foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(d); OR
- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. _____, filed on _____, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☒ Copies of any foreign patent documents and non-patent literature cited herein are enclosed.
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☐ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
PETER E. HART ET AL.

Dated: Nov. 3, 2006

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

Application No.	10/814,845
Filing Date	March 30, 2004
First Named Inventor	Peter E. Hart
Art Unit	2625
Examiner Name	James A. Thompson
Attorney Docket Number	20412-08457

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of

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	A1	US-7,031,965	04-18-2006	Moriya et al.
	A2	US-6,892,193	05-10-2005	Bolle et al.
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Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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20412/08457/DOCS/1669679.1

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

Application No.	10/814,845
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Sheet	2	of	2
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	B2	EP 1133170 A2	09-12-2001	Sony Corporation	

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